Please review the below information before completing your Criminal Background Check (CBC) Packet.

Within this CBC Packet are the forms required for your UConn background check. Completed forms should be delivered, mailed, or faxed directly to Human Resources at (860) 486-6773. Our address and fax number are listed at the bottom of this page. Please do not e-mail these forms as they contain sensitive information. Forms should be hand signed as we cannot accept electronic signatures.

Contents of the Background Check Packet:

- Disclosure Regarding Background Investigation – Review, sign, and date.
- Acknowledgement and Authorization for Background Check – Review, sign and date.
- Consent to Release Information for Pre-Employment Criminal Background Investigation – Review, fill in the requested information completely, sign, and date. Please be sure to provide ALL addresses going back seven years. If you are a student listing an out of state address as your primary residence, please also provide your local address.
- Additional Page if more space is needed for addresses.
- Authorization for the Social Security Administration (SSA-89) to Release Social Security Number Verification - Review, fill in the requested information completely and legibly, sign, and date. If you must correct anything, please initial the correction.
- A Summary of Your Rights Under the Fair Credit Reporting Act – This document must be provided for information purposes and does not need to be returned to Human Resources.

If you have questions specific to the criminal background check, please contact the UConn Human Resources Criminal Background Check Team at (860) 486-3034 or HR-CBC@UConn.edu. For additional information you may also visit the Criminal Background Check webpage at hr.uconn.edu/cbc/.

Thank you for your assistance with this important requirement.

Best,

Criminal Background Check Team
UConn Department of Human Resources
9 Walters Avenue, Unit 5075
Storrs, CT 06269-5075
HR-CBC@UConn.edu
Phone: (860) 486-3034
Fax: (860) 486-6773

www.hr.uconn.edu/cbc/
DISCLOSURE REGARDING BACKGROUND INVESTIGATION

[IMPORTANT -- PLEASE READ CAREFULLY BEFORE SIGNING]

The University of Connecticut may obtain information about you from a third party consumer reporting agency for employment/volunteer assignment(s) purposes. Thus, you may be the subject of a “consumer report” and/or an “investigative consumer report” which may include information about your criminal history, social security verification, motor vehicle records (“driving records”), verification of your education, employment history, or other background checks.

You have the right, upon written request made within a reasonable time, to request whether a consumer report has been run about you, and disclosure of the nature and scope of any investigative consumer report and to request a copy of your report. Please be advised that the nature and scope of the most common form of investigative consumer report is an employment history or verification, however, the scope of this disclosure is all-encompassing and allows the Company to obtain from any outside organization all manner of consumer reports throughout the course of your employment/volunteer assignment(s) to the extent permitted by law. These searches will be conducted by Security Services of Connecticut, Inc., 25 Controls Drive, Shelton, CT 06484, 1-800-360-3688, www.smgcorporateservices.com.

Signature: ________________________________ Date: ________________

If under the age of 18, a parent/guardian signature must ALSO be obtained:

Parent/Guardian Signature: ________________________________ Date: ________________

Relationship to Candidate: ________________________________

Rev. CBC Packet 09/20/2018
ACKNOWLEDGMENT AND AUTHORIZATION FOR BACKGROUND CHECK

University of Connecticut ("UConn")

I acknowledge receipt of the separate documents entitled DISCLOSURE REGARDING BACKGROUND INVESTIGATION and A SUMMARY OF YOUR RIGHTS UNDER THE FAIR CREDIT REPORTING ACT and certify that I have read and understand both of those documents. I hereby authorize the obtaining of "consumer reports" and/or "investigative consumer reports" by UConn at any time after receipt of this authorization and throughout my employment/volunteer assignment(s), if applicable. To this end, I hereby authorize, without reservation, any law enforcement agency, administrator, state or federal agency, institution, school or university (public or private), information service bureau, employer, or insurance company to furnish any and all background information requested by Security Services of Connecticut, Inc., 25 Controls Drive, Shelton, CT 06484, 1-800-360-3688, www.smgcorporateservices.com and/or UConn. I agree that a facsimile ("fax"), electronic or photographic copy of this Authorization shall be as valid as the original.

New York applicants only: Upon request, you will be informed whether or not a consumer report was requested by the Company, and if such report was requested, informed of the name and address of the consumer reporting agency that furnished the report. You have the right to inspect and receive a copy of any investigative consumer report requested by the Company by contacting the consumer reporting agency identified above directly. By signing below, you acknowledge receipt of Article 23-A of the New York Correction Law.

Washington State applicants only: You also have the right to request from the consumer reporting agency a written summary of your rights and remedies under the Washington Fair Credit Reporting Act.

Minnesota and Oklahoma applicants only: Please check this box if you would like to receive a copy of a consumer report if one is obtained by the Company.

California applicants only: Under California Civil Code section 1786.22, you are entitled to find out what is in the CRA's file on you with proper identification, as follows:

- In person, by visual inspection of your file during normal business hours and on reasonable notice. You also may request a copy of the information in person. The CRA may not charge you more than the actual copying costs for providing you with a copy of your file.

- A summary of all information contained in the CRA file on you that is required to be provided by the California Civil Code will be provided to you via telephone, if you have made a written request, with proper identification, for telephone disclosure, and the toll charge, if any, for the telephone call is prepaid by or charged directly to you.

- By requesting a copy be sent to a specified addressee by certified mail. CRAs complying with requests for certified mailings shall not be liable for disclosures to third parties caused by mishandling of mail after such mailings leave the CRAs.

"Proper Identification" includes documents such as a valid driver's license, social security account number, military identification card, and credit cards. Only if you cannot identify yourself with such information may the CRA require additional information concerning your employment and personal or family history in order to verify your identity. The CRA will provide trained personnel to explain any information furnished to you and will provide a written explanation of any coded information contained in files maintained on you. This written explanation will be provided whenever a file is provided to you for visual inspection. You may be accompanied by one other person of your choosing, who must furnish reasonable identification. A CRA may require you to furnish a written statement granting permission to the CRA to discuss your file in such person's presence.

Please check this box if you would like to receive a copy of an investigative consumer report or consumer credit report at no charge if one is obtained by the Company whenever you have a right to receive such a copy under California law.

To view the documentation specific to California, Maine, New Jersey, New York, Vermont, and Washington applicants, please visit UConn’s Pre-Employment Criminal Background Check webpage at http://hr.uconn.edu/cbc/ or call (860) 486-3034 to request a copy.

Signature: ___________________________ Date: ___________________________

If under the age of 18, a parent/guardian signature must ALSO be obtained:

Parent/Guardian Signature: ___________________________ Date: ___________________________

Relationship to Candidate: ___________________________ Rev. CBC Packet 09/20/2018
Consent to Release Information for  
Pre-employment/Volunteer Assignment(s) Background Investigation

To Whom It May Concern:

I hereby authorize Security Services of Connecticut, Inc. (hereinafter referred to as “SSC”), as directed by the University of Connecticut (hereinafter referred to as “UConn”) the right to make a thorough investigation of my past, including but not limited to a check of criminal records, driving history and/or motor vehicle records, education and work history for the purpose of determining my eligibility for employment/volunteer assignment(s) with UConn. A credit check will not be conducted as part of this investigation.

I authorize that a photocopy of this statement be accepted with the same authority as the original. I hereby waive all written notice requirements from any person, agency or employer contact.

I also authorize my former employers to provide UConn and/or SSC any and all information regarding my past employment history, together with any information that they may have regarding me, whether or not it is on their records.

I realize that my employment/volunteer assignment(s) with UConn is conditional upon a favorable background investigation. I understand that refusal or failure to supply the information required to conduct a background check will likely affect my eligibility for employment/volunteer assignment(s).

This consent is executed with full knowledge and understanding that the information is for UConn’s official use in connection with its determination of my suitability for employment/volunteer assignment(s). Consent is granted for UConn to furnish such information as described above to third parties in the course of fulfilling its official responsibilities.

SSC Information: SSC, Inc., 25 Controls Drive, Shelton, CT 06484 www.smgcorporateservices.com

<table>
<thead>
<tr>
<th>Last Name, First Name, Middle Name (print clearly):</th>
<th>Other Names Used (Including maiden name):</th>
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<tr>
<td>SSN: _____<em><strong>-<em><strong><strong><strong>-</strong></strong></strong></em></strong></em></td>
<td>DOB: _____<strong>/_<strong><strong>/</strong></strong></strong></td>
</tr>
<tr>
<td>Street Address:</td>
<td>City, State, Zip Code:</td>
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</table>

**Please provide previous address(es), domestic and/or foreign, if less than seven (7) years at the above address.**

Use additional page if necessary to list addresses covering the past seven (7) years.

<table>
<thead>
<tr>
<th>Street Address:</th>
<th>City, State, Zip Code:</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>Street Address:</th>
<th>City, State, Zip Code:</th>
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<tbody>
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<table>
<thead>
<tr>
<th>Driver’s License Number / State Issued:</th>
<th>Prior or Other Driver’s License Number(s) / State Issued:</th>
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<tbody>
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</table>

Signature (sign in ink):  

Date Signed:  

Daytime Phone Number:  

**PRIVACY ACT STATEMENT**

Social Security Number: Your Social Security Number will only be used in order to confirm your identity for purposes of completing an accurate background investigation. Providing your Social Security number is optional; however, it will be needed to conduct a criminal background check.

Date of Birth: The Age Discrimination in Employment Act of 1967 prohibits discrimination on the basis of age with respect to individuals who are at least forty years of age. Your date of birth is required in order to confirm your identity for purposes of completing an accurate background investigation and is not provided to the hiring official for any purpose in connection with consideration of your application for employment.

Please do not complete the information below at this time.

<table>
<thead>
<tr>
<th>HR USE ONLY</th>
<th>Search #/Prog:</th>
<th>Dept/Program:</th>
</tr>
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<tbody>
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</tbody>
</table>

KFS #: _______  

HR Initials/Date _____/_______  

Notes:  

Rev. CBC Packet 09/20/2018
Please use this page to list any additional addresses that would cover the requested seven year period.
Authorization for the Social Security Administration (SSA)  
To Release Social Security Number (SSN) Verification

Printed Name: ___________________________ Date of Birth: ___________ Social Security Number: ___________________________

I want this information released because I am conducting the following business transaction:

Employment Related

Reason (s) for using CBSV: (Please select all that apply)

☐ Mortgage Service ☐ Banking Service
☐ Background Check ☐ License Requirement
☐ Credit Check ☐ Other

with the following company ("the Company"):

Company Name: Security Services of CT, Inc.

Company Address: 25 Controls Drive, Shelton, CT 06484

I authorize the Social Security Administration to verify my name and SSN to the Company and/or the Company's Agent, if applicable, for the purpose I identified.

The name and address of the Company's Agent is:

Computer Information Development LLC 713 W. Duarte Rd #106, Arcadia, CA 91007

I am the individual to whom the Social Security number was issued or the parent or legal guardian of a minor, or the legal guardian of a legally incompetent adult. I declare and affirm under the penalty of perjury that the information contained herein is true and correct. I acknowledge that if I make any representation that I know is false to obtain information from Social Security records, I could be found guilty of a misdemeanor and fined up to $5,000.

This consent is valid only for 90 days from the date signed, unless indicated otherwise by the individual named above. If you wish to change this timeframe, fill in the following:

This consent is valid for ______ days from the date signed. ________ (Please initial.)

Signature: ___________________________ Date Signed: ___________

Relationship (if not the individual to whom the SSN was issued):

Contact information of individual signing authorization:

Address: ________________________________________________________________

City/State/ZIP: ___________________________________________________________

Phone Number: __________________________________________________________
Privacy Act Statement
Collection and Use of Personal Information

Sections 205(a) and 1106 of the Social Security Act, as amended, allow us to collect this information. Furnishing us this information is voluntary. However, failing to provide all or part of the information may prevent us from releasing information to a designated company or company’s agent.

We will use the information to verify your name and Social Security number (SSN). In addition, we may share this information in accordance with the Privacy Act and other Federal laws. For example, where authorized, we may use and disclose this information in computer matching programs, in which our records are compared with other records to establish or verify a person’s eligibility for Federal benefit programs and for repayment of incorrect or delinquent debts under these programs.

A list of routine uses is available in our Privacy Act System of Records Notice (SORN) 60-0058, entitled Master Files of SSN Holders and SSN Applications. Additional information and a full listing of all our SORNs are available on our website at www.socialsecurity.gov/foia/bluebook.

Paperwork Reduction Act Statement - This information collection meets the requirements of 44 U.S.C. § 3507, as amended by section 2 of the Paperwork Reduction Act of 1995. You do not need to answer these questions unless we display a valid Office of Management and Budget control number. We estimate that it will take about 3 minutes to complete the form. You may send comments on our time estimate above to: SSA, 6401 Security Blvd., Baltimore, MD 21235-6401. Send to this address only comments relating to our time estimate, not the completed form.

NOTICE TO NUMBER HOLDER

The Company and/or its Agent have entered into an agreement with SSA that, among other things, includes restrictions on the further use and disclosure of SSA's verification of your SSN. To view a copy of the entire model agreement, visit http://www.ssa.gov/cbsv/docs/SampleUserAgreement.pdf.
A Summary of Your Rights Under the Fair Credit Reporting Act

The federal Fair Credit Reporting Act (FCRA) promotes the accuracy, fairness, and privacy of information in the files of consumer reporting agencies. There are many types of consumer reporting agencies, including credit bureaus and specialty agencies (such as agencies that sell information about check writing histories, medical records, and rental history records). Here is a summary of your major rights under FCRA. For more information, including information about additional rights, go to www.consumerfinance.gov/learnmore or write to: Consumer Financial Protection Bureau, 1700 G Street N.W., Washington, DC 20552.

- **You must be told if information in your file has been used against you.** Anyone who uses a credit report or another type of consumer report to deny your application for credit, insurance, or employment — or to take another adverse action against you — must tell you, and must give you the name, address, and phone number of the agency that provided the information.

- **You have the right to know what is in your file.** You may request and obtain all the information about you in the files of a consumer reporting agency (your “file disclosure”). You will be required to provide proper identification, which may include your Social Security number. In many cases, the disclosure will be free. You are entitled to a free file disclosure if:
  - a person has taken adverse action against you because of information in your credit report;
  - you are the victim of identity theft and place a fraud alert in your file;
  - your file contains inaccurate information as a result of fraud;
  - you are on public assistance;
  - you are unemployed but expect to apply for employment within 60 days.

In addition, all consumers are entitled to one free disclosure every 12 months upon request from each nationwide credit bureau and from nationwide specialty consumer reporting agencies. See www.consumerfinance.gov/learnmore for additional information.

- **You have the right to ask for a credit score.** Credit scores are numerical summaries of your credit-worthiness based on information from credit bureaus. You may request a credit score from consumer reporting agencies that create scores or distribute scores used in residential real property loans, but you will have to pay for it. In some mortgage transactions, you will receive credit score information for free from the mortgage lender.

- **You have the right to dispute incomplete or inaccurate information.** If you identify information in your file that is incomplete or inaccurate, and report it to the consumer
reporting agency, the agency must investigate unless your dispute is frivolous. See www.consumerfinance.gov/learnmore for an explanation of dispute procedures.

- **Consumer reporting agencies must correct or delete inaccurate, incomplete, or unverifiable information.** Inaccurate, incomplete, or unverifiable information must be removed or corrected, usually within 30 days. However, a consumer reporting agency may continue to report information it has verified as accurate.

- **Consumer reporting agencies may not report outdated negative information.** In most cases, a consumer reporting agency may not report negative information that is more than seven years old, or bankruptcies that are more than 10 years old.

- **Access to your file is limited.** A consumer reporting agency may provide information about you only to people with a valid need – usually to consider an application with a creditor, insurer, employer, landlord, or other business. The FCRA specifies those with a valid need for access.

- **You must give your consent for reports to be provided to employers.** A consumer reporting agency may not give out information about you to your employer, or a potential employer, without your written consent given to the employer. Written consent generally is not required in the trucking industry. For more information, go to www.consumerfinance.gov/learnmore.

- **You may limit “prescreened” offers of credit and insurance you get based on information in your credit report.** Unsolicited “prescreened” offers for credit and insurance must include a toll-free phone number you can call if you choose to remove your name and address form the lists these offers are based on. You may opt out with the nationwide credit bureaus at 1-888-5-OPTOUT (1-888-567-8688).

- The following FCRA right applies with respect to nationwide consumer reporting agencies:

**CONSUMERS HAVE THE RIGHT TO OBTAIN A SECURITY FREEZE**

You have a right to place a “security freeze” on your credit report, which will prohibit a consumer reporting agency from releasing information in your credit report without your express authorization. The security freeze is designed to prevent credit, loans, and services from being approved in your name without your consent. However, you should be aware that using a security freeze to take control over who gets access to the personal and financial information in your credit report may delay, interfere with, or prohibit the timely approval of any subsequent request or application you make regarding a new loan, credit, mortgage, or any other account involving the extension of credit.

As an alternative to a security freeze, you have the right to place an initial or extended fraud alert on your credit file at no cost. An initial fraud alert is a 1-year alert that is
placed on a consumer’s credit file. Upon seeing a fraud alert display on a consumer’s credit file, a business is required to take steps to verify the consumer’s identity before extending new credit. If you are a victim of identity theft, you are entitled to an extended fraud alert, which is a fraud alert lasting 7 years.

A security freeze does not apply to a person or entity, or its affiliates, or collection agencies acting on behalf of the person or entity, with which you have an existing account that requests information in your credit report for the purposes of reviewing or collecting the account. Reviewing the account includes activities related to account maintenance, monitoring, credit line increases, and account upgrades and enhancements.

- **You may seek damages from violators.** If a consumer reporting agency, or, in some cases, a user of consumer reports or a furnisher of information to a consumer reporting agency violates the FCRA, you may be able to sue in state or federal court.

- **Identity theft victims and active duty military personnel have additional rights.** For more information, visit [www.consumerfinance.gov/learnmore](http://www.consumerfinance.gov/learnmore).

States may enforce the FCRA, and many states have their own consumer reporting laws. In some cases, you may have more rights under state law. For more information, contact your state or local consumer protection agency or your state Attorney General. For information about your federal rights, contact:
<table>
<thead>
<tr>
<th>TYPE OF BUSINESS:</th>
<th>CONTACT:</th>
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</thead>
<tbody>
<tr>
<td>1.a. Banks, savings associations, and credit unions with total assets of over $10 billion and their affiliates</td>
<td>a. Consumer Financial Protection Bureau</td>
</tr>
<tr>
<td>b. Such affiliates that are not banks, savings associations, or credit unions also should list, in addition to the CFPB:</td>
<td>1700 G Street, N.W. Washington, DC 20552</td>
</tr>
<tr>
<td>2. To the extent not included in item 1 above:</td>
<td>a. Office of the Comptroller of the Currency</td>
</tr>
<tr>
<td>a. National banks, federal savings associations, and federal branches and federal agencies of foreign banks</td>
<td>1301 McKinney Street, Suite 3450 Houston, TX 77010-9050</td>
</tr>
<tr>
<td>b. State member banks, branches and agencies of foreign banks</td>
<td>b. Federal Reserve Consumer Help Center</td>
</tr>
<tr>
<td>(other than federal branches, federal agencies, and Insured State Branches of Foreign Banks), commercial lending companies owned or controlled by foreign banks, and organizations operating under section 25 or 25A of the Federal Reserve Act.</td>
<td>P.O. Box 1200 Minneapolis, MN 55480</td>
</tr>
<tr>
<td>c. Nonmember Insured Banks, Insured State Branches of Foreign Banks, and insured state savings associations</td>
<td>c. FDIC Consumer Response Center</td>
</tr>
<tr>
<td>d. Federal Credit Unions</td>
<td>1100 Walnut Street, Box #11 Kansas City, MO 64106</td>
</tr>
<tr>
<td>3. Air carriers</td>
<td>Office of Consumer Financial Protection (OCFP)</td>
</tr>
<tr>
<td></td>
<td>Division of Consumer Compliance Policy and Outreach</td>
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<td></td>
<td>1775 Duke Street Alexandria, VA 22314</td>
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<tr>
<td>4. Creditors Subject to the Surface Transportation Board</td>
<td>Asst. General Counsel for Aviation Enforcement &amp; Proceedings</td>
</tr>
<tr>
<td></td>
<td>Aviation Consumer Protection Division</td>
</tr>
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<td></td>
<td>Department of Transportation</td>
</tr>
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<td></td>
<td>1200 New Jersey Avenue, S.E. Washington, DC 20590</td>
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<tr>
<td>5. Creditors Subject to the Packers and Stockyards Act, 1921</td>
<td>Office of Proceedings, Surface Transportation Board</td>
</tr>
<tr>
<td></td>
<td>Department of Transportation</td>
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<td></td>
<td>395 E Street, S.W. Washington, DC 20423</td>
</tr>
<tr>
<td>6. Small Business Investment Companies</td>
<td>Nearest Packers and Stockyards Administration area supervisor</td>
</tr>
<tr>
<td>7. Brokers and Dealers</td>
<td>Associate Deputy Administrator for Capital Access</td>
</tr>
<tr>
<td></td>
<td>United States Small Business Administration</td>
</tr>
<tr>
<td></td>
<td>409 Third Street, S.W., Suite 8200 Washington, DC 20416</td>
</tr>
<tr>
<td>9. Retailers, Finance Companies, and All Other Creditors Not Listed Above</td>
<td>Farm Credit Administration</td>
</tr>
<tr>
<td></td>
<td>1501 Farm Credit Drive McLean, VA 22102-5090</td>
</tr>
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<td></td>
<td>Federal Trade Commission</td>
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<tr>
<td></td>
<td>Consumer Response Center</td>
</tr>
<tr>
<td></td>
<td>600 Pennsylvania Avenue, N.W. Washington, DC 20580</td>
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<td>(877) 382-4357</td>
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